

Reinstatement After Suspension or Bar (Up to One Year)

Former CFP® professionals who were **Suspended** or **Barred** from CFP® certification for one year or less may apply for **Reinstatement** once fewer than 30 days remain on the **Sanction**. They must submit specified materials showing that they have complied with the prohibitions against using the **CFP Board certification marks** and will be asked to complete an **Ethics Declaration**.

The individual seeking **Reinstatement** must submit a **Motion for Reinstatement Eligibility**. **Enforcement Counsel** has 30 days to respond.

Counsel to the Disciplinary and Ethics Commission (DEC Counsel) reviews the submissions.

Did **DEC Counsel** grant the **Motion for Reinstatement Eligibility**?

YES:

The individual seeking **Reinstatement** must:

- Submit an [Intent to Reinstatement Form](#).
- Pay the **fees**.
- Report outstanding CE credits.
- Complete a **Certification Renewal Application** and **Ethics Declaration**.

(See [Certification Renewal Instructions](#) on CFP.net.)

YES/NO

NO:

A **Petition for Reinstatement Eligibility** must be filed with the **Disciplinary and Ethics Commission**.

(See [Reinstatement After Suspension or Bar \(More than One Year\)](#) Process Map.)

Did a **Background Check** reveal additional misconduct?

YES:

Enforcement Counsel begins an **Investigation**.

(See [Investigation of CFP® Professionals](#) Process Map.)

YES/NO

NO:

The **Background Check** and review of the **Ethics Declaration** went smoothly. The individual is on their way to certification!

DOES THIS MAP APPLY TO ME?

This map applies to former CFP® professionals who received a **Suspension** or **Temporary Bar** for one year or less.

PRACTICE TIPS

Concepts to Consider:

Sanction Compliance: All **Post-Sanction Requirements** listed in the **Final Order** issued by the **Disciplinary and Ethics Commission** must be met before an individual is eligible for **Reinstatement**.

Five-Year Deadline: Those who fail to seek **Reinstatement** within five years of their **Sanction** date will be **Permanently Barred** from certification.

Motion vs. Petition: **DEC Counsel** decides **Motions for Reinstatement Eligibility**. The **Disciplinary and Ethics Commission** decides **Petitions for Reinstatement**. The individual may file a **Petition** if **DEC Counsel** has not decided a motion within 30 days.

Possible Additional Background Checks: **Enforcement Counsel** may conduct more than one **Background Check** on those seeking **Reinstatement**. All new relevant information should be reported to **Enforcement Counsel** as it arises.

KEY RESOURCES

[Procedural Rules:](#)

Article 11.5
Article 11.8