

# Reinstatement After an Administrative Order of Suspension or Temporary Bar

An individual who has been issued an **Administrative Order Suspending or Temporarily Barring** their CFP® certification may submit a **Request for Reinstatement Eligibility** showing that the reason for the **Sanction** has been resolved, the individual is fully cooperating with any disciplinary proceedings and the criteria for **Reinstatement** in Article 11.8 of the [Procedural Rules](#) have been met.

A **Request for Reinstatement Eligibility** must be filed with **Enforcement Counsel** and **Counsel to the Disciplinary and Ethics Commission (DEC Counsel)** at the same time. **Enforcement Counsel** has 30 days to respond.

**DEC Counsel** reviews the submissions to determine whether the individual met the **Reinstatement Criteria**. Is the **Request for Reinstatement Eligibility** granted by **DEC Counsel**?

### YES:

The individual must:

- Submit an [Intent to Reinstatement Form](#).
- Pay the **Fees**.
- Report outstanding CE credits.
- Complete the **Renewal Application** and **Ethics Declaration**.

(See [Certification Renewal instructions](#) on CFP.net.)

### NO:

The individual may file a **Petition for Reinstatement Following an Administrative Order of Suspension or Temporary Bar**.

Which map follows depends on the length of the **Suspension or Temporary Bar**. Was the **Suspension or Temporary Bar** for more than a year?

YES/NO

YES/NO

**Enforcement Counsel** conducts **Background Check**. Is there evidence of additional misconduct?

### YES:

See [Reinstatement After Suspension or Bar \(More than One Year\)](#) Process Map.

### NO:

See [Reinstatement After Suspension or Bar \(Up to One Year\)](#) Process Map.

YES/NO

### YES:

**Enforcement Counsel** sends the individual seeking **Reinstatement** a **Notice of Investigation (NOI)** requesting information and documents.

The recipient has 14 days to acknowledge receipt but may request additional time to respond. (For next steps, see [Evaluation of a Candidate's Ethical Fitness](#) Process Map.)

### NO:

The **Background Check** and review of the **Ethics Declaration** went smoothly. The individual is on their way to certification!

## DOES THIS MAP APPLY TO ME?

This map applies to former CFP® professionals who are seeking **Reinstatement** after receiving an **Administrative Order of Suspension** or an **Administrative Order of Temporary Bar**.

## PRACTICE TIPS

### Concepts to Consider:

**Compliance Confirmation:** An individual may seek **Reinstatement** only after **Enforcement Counsel** has confirmed that all **Post-Sanction Requirements** have been met.

**Reinstatement Deadline:** If **Reinstatement** is not sought within five years after the **Suspension** was imposed, CFP® certification will be **Relinquished** with no opportunity for **Reinstatement**.

If **DEC Counsel** does not make a decision on **Reinstatement Eligibility** within 30 days, the individual may file a **Petition for Reinstatement**. See [Procedural Rules](#) Article 4.6.c.

**TIP:** **DEC Counsel** may decide **Motions** and other specified requests, but only the **Disciplinary and Ethics Commission** may issue decisions on **Petitions**.

**Possible Additional Background Checks:** **Enforcement Counsel** may conduct more than one **Background Check** on those seeking **Reinstatement**. All relevant new information should be reported to **Enforcement Counsel** as it arises.

## KEY RESOURCES

[Procedural Rules:](#)  
Article 4.6  
Article 11.8