

Reinstatement After Suspension or Bar (More Than One Year)

Individuals who have been **Suspended** or **Barred** from CFP® certification for more than a year may apply for **Reinstatement** once fewer than six months remain on the **Sanction**. Those seeking **Reinstatement** must submit specified materials showing compliance with the prohibitions against using the **CFP Board certification marks** and will be asked to complete an **Ethics Declaration**.

The individual seeking **Reinstatement** must submit a **Petition for Reinstatement Eligibility**. **Enforcement Counsel** has 30 days to respond.

Counsel to the Disciplinary and Ethics Commission (DEC Counsel) sends the parties a **Scheduling Order** containing instructions, deadlines and events in the case, including the projected date of any **Hearing**. The **Scheduling Order** should be reviewed carefully.

A **Hearing Panel** considers the submissions and evidence presented at any **Hearing** that is held, then recommends a resolution to the **Disciplinary and Ethics Commission**, which reviews the evidence and makes a decision issued as a **Final Order**. Did the **Disciplinary and Ethics Commission** grant the **Petition for Reinstatement Eligibility**?

YES:

The individual seeking **Reinstatement** must:

- Submit an [Intent to Reinstatement Form](#).
- Pay the **fees**.
- Report outstanding CE credits.
- Complete a **Certification Renewal Application** and **Ethics Declaration**.
- Comply with any other requirements listed in the **Final Order**. (See [Certification Renewal Instructions](#) on CFP.net.)

YES/NO

NO:

Does the **Final Order** allow another **Petition for Reinstatement Eligibility** to be filed in the future?

YES/NO

YES:

The individual may file a **Renewed Petition for Reinstatement Eligibility** within five years of the **Sanction** date or else be **Permanently Barred** from CFP® certification.

NO:

The individual is **Permanently Barred** from CFP® certification, and CFP Board will publish the **Revocation**.

Did a **Background Check** reveal additional misconduct?

YES/NO

YES:

Enforcement Counsel begins an **Investigation**. (See [Investigation of CFP® Professionals](#) Process Map.)

NO:

The **Background Check** and review of **Ethics Declaration** went smoothly. The individual is on their way to certification!

DOES THIS MAP APPLY TO ME?

This map applies to those who have received a **Suspension** or **Temporary Bar** for more than one year.

PRACTICE TIPS

Concepts to Consider:

Sanction Compliance: The individual must complete all **Post-Sanction Requirements** listed in the **Final Order** issued by the **Disciplinary and Ethics Commission** before an individual is eligible for **Reinstatement**.

Contents of Petition: A **Petition for Reinstatement Eligibility** should address the factors relevant to **Rehabilitation and Fitness** in Article 11.8 of the [Procedural Rules](#).

Background Checks: **Enforcement Counsel** may conduct more than one **Background Check** on those seeking **Reinstatement**. All new relevant information should be reported to **Enforcement Counsel** as it arises.

Revocation and Publication: If the **Disciplinary and Ethics Commission** does not allow a **Renewal Petition** to be filed, then it must issue a **Revocation** that **Permanently Bars** CFP® certification. This **Sanction** will be published by CFP Board. (See [Procedural Rules](#) Article 14.4.b.)

All **Final Orders** issued by the **Disciplinary and Ethics Commission** may be **Appealed**.

KEY RESOURCES

[Procedural Rules:](#)
Article 11.6
Article 14