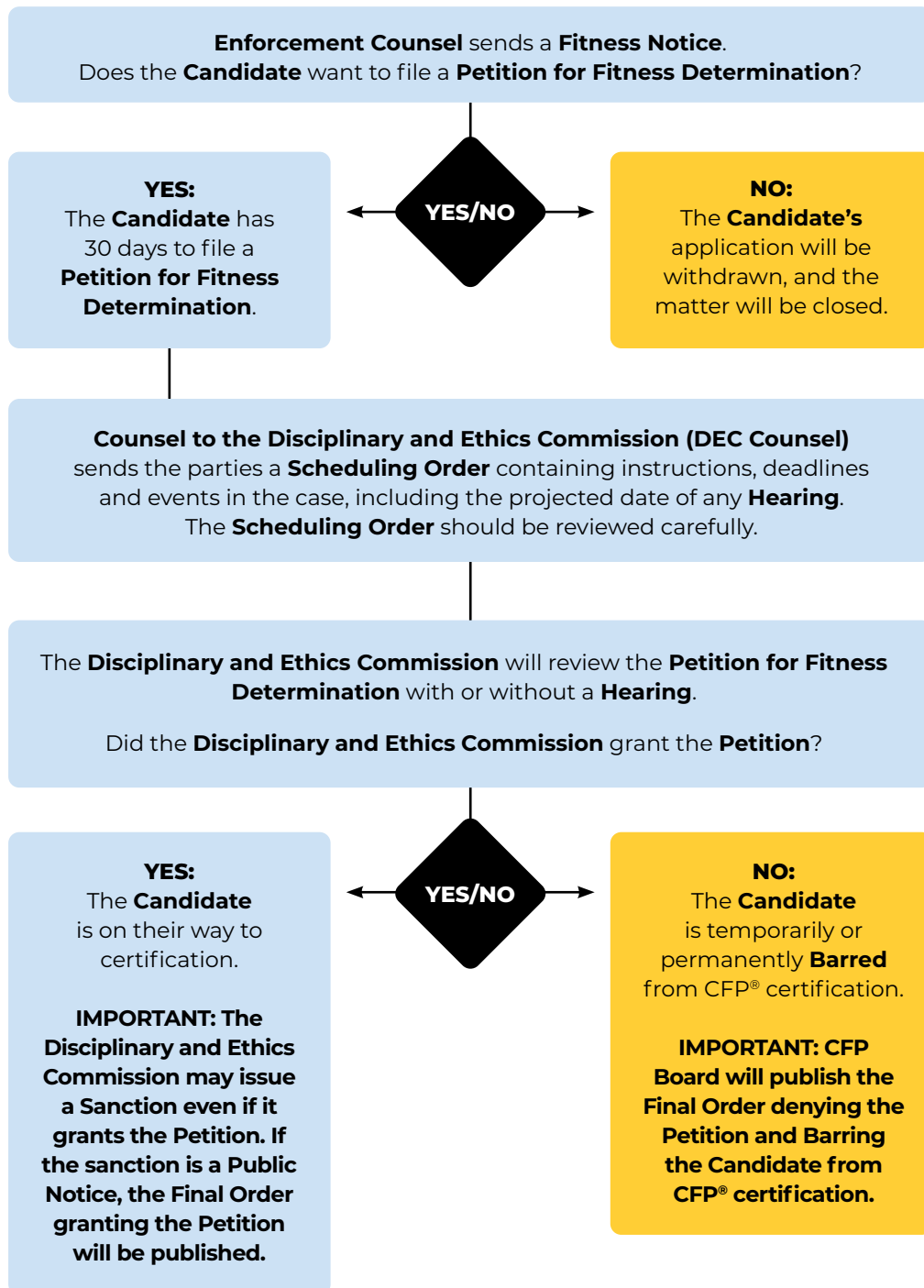


Petitioning the Disciplinary and Ethics Commission for a Fitness Determination

If **Enforcement Counsel** determines that information from a **Candidate's Fitness Evaluation** requires review by the **Disciplinary and Ethics Commission**, **Enforcement Counsel** will send a **Fitness Notice** informing the **Candidate** that they will need to submit a **Petition for Fitness Determination** demonstrating the **Candidate's** fitness for certification.



DOES THIS MAP APPLY TO ME?

This map applies to those applying for CFP® certification who must file a **Petition for Fitness Determination** to finalize the certification process.

PRACTICE TIPS

Concepts to Consider:

Assessment of Fee: Candidates before the **Disciplinary and Ethics Commission** are assessed a fee. In some circumstances, a **Fee Waiver** or refund of the assessed fee may be available.

Possibility of Public Sanctions: In resolving a **Petition for Fitness Determination**, the **Disciplinary and Ethics Commission** may issue a **Public Sanction** — even if the **Petition** is granted. A **Candidate** should carefully review the [Fitness Standards](#), the [Pathway to CFP® Certification Agreement](#), the [Procedural Rules](#) and the [Case History Database](#) to fully understand the potential outcomes.

A **Candidate** may **Withdraw** their application at any time before the **Disciplinary and Ethics Commission** issues its **Final Order**.

KEY RESOURCES

[Procedural Rules](#): Article 5 governs the primary components of the **Petition for Fitness Determination** process.

Article 10 governs **Hearings** in general.

Article 13 governs the resolution of a **Petition for Fitness Determination**.