

CFP BOARD

PROCEDURAL RULES

PROPOSED CRIMINAL EXPUNGEMENT REVISIONS

ARTICLE 7: NO CHALLENGES IN CFP BOARD PROCEEDING TO CRIMINAL CONVICTIONS, PROFESSIONAL DISCIPLINE, OR CIVIL LIABILITY

7.1 CRIMINAL CONVICTION

A record from any court of criminal jurisdiction indicating that Respondent has been convicted of a crime in that court, ~~including or admitted into a program that defers or withholds entry of a judgment of conviction that was Expunged~~ ("Criminal Conviction"), is conclusive proof of the commission of the crime and that Respondent engaged in the criminal conduct that led to the Criminal Conviction. ~~A Criminal Conviction includes a final judgment resulting from a guilty verdict, guilty plea, or nolo contendere (no contest) plea, or admission into a program that defers or withholds the entry of a judgment of conviction. A Criminal Conviction that was sealed, expunged, set aside, or otherwise stricken from the court record (or reduced) following entry of final judgment has been "Expunged," and CFP Board may prove an Expunged Criminal Conviction by any admissible evidence. A Criminal Conviction that was Expunged because of Respondent's actual innocence is not a Criminal Conviction.~~



1425 K St NW #800 Washington DC 20005

p 800-487-1497 | f 202-379-2299

mail@CFPBoard.org | CFP.net