



CERTIFIED FINANCIAL PLANNER BOARD OF STANDARDS, INC.

Terms and Conditions of Participation in CFP Board's "Find a CFP® Professional" Search Tool

Certified Financial Planner Board of Standards, Inc. ("CFP Board"), is a nonprofit corporation and maintains a "Find a CFP® Professional" search tool ("Listing") for the use of CFP® professional to provide information regarding the services he or she provides to clients. Your access to and use of the Listing is subject to all legal notices and all applicable laws. By accessing and providing information for use in the Listing, you accept the terms and conditions contained in this section of the website, without limitation or qualification. **Please read the following terms and conditions carefully and if you disagree with any of the language, terminate use of the Listing and this website.**

A. Eligibility and Opting Out of Listing

Information displayed in the Listing is at the discretion of CFP Board, and the Listing will display information related only to individuals who:

1. Are currently authorized to use the CFP® marks by CFP Board;
2. Indicate in their Listing profile that they currently accept new clients;
3. Identify themselves in their Listing profile as a practicing financial planner; and
4. Include in their Listing profile at least one "Search Address," and
5. Indicate in their Listing profile their understanding and acceptance of the Terms and Conditions contained in this document.

Individuals who wish to opt out of participation in the Listing may do so at any time by updating their Listing profile and indicating they do not agree to these Terms and Conditions.

Should you have additional questions, comments, concerns, or want to opt-out of certain lists CFP Board may provide to third parties (see Section G, Policy on the Release of CFP® Professional Information, below), please contact us by regular mail, email, fax or phone:

Certified Financial Planner Board of Standards, Inc.
1425 K Street NW #500
Washington, DC 20005

Email: mail@cfpboard.org
Fax: 202-379-2299
Phone: 800-487-1497

B. Disclaimer

The "Find a CFP® Professional" and "Verify an Individual's CFP® Certification" tools on CFP Board's website are services provided at the discretion of CFP Board and may be

terminated at any time. You are prohibited from posting in your Listing profile any unlawful, misleading, libelous, defamatory statements or any information that could be found to be in violation of any applicable standards of conduct, regulations or laws. CFP Board reserves the right to monitor all entries and may, without prior notice to the CFP® professional, edit or delete any information it deems inappropriate or determines is inaccurate or misleading. Any information provided in an individual's Listing profile is subject to investigation by CFP Board and if found to be in violation of CFP Board's *Standards of Professional Conduct*, may result in disciplinary action.

C. Limitations on Use

CFP Board may from time to time monitor or review discussions, postings, transmissions and other information or material provided for the Listing; however, CFP Board is under no duty to do so and assumes no responsibility or liability arising from such matters nor for any error, defamation, libel, slander, omission, obscenity, pornography, or inaccuracy contained in any such discussion, posting or transmission. Furthermore, you are prohibited from posting or transmitting any unlawful, threatening, libelous, defamatory, obscene, pornographic or erroneous material or otherwise violate any law through the use of this Listing. CFP Board will fully cooperate with any law enforcement authorities or court order requesting or directing CFP Board to disclose the identity of anyone posting any such information or materials.

D. Limitation of Liability

YOUR USE OF THIS LISTING IS AT YOUR OWN RISK.

IN NO EVENT SHALL CFP BOARD NOR ANY PARTY INVOLVED IN CREATING, PRODUCING, OR DELIVERING THE LISTING BE LIABLE FOR ANY DAMAGES WHATSOEVER ARISING OUT OF THE USE OF, OR INABILITY TO USE, OR LACK OF PERFORMANCE IN THE USE OF, THE LISTING, INCLUDING BUT NOT LIMITED TO DIRECT, INDIRECT, INCIDENTAL, SPECIAL OR CONSEQUENTIAL DAMAGES, EVEN IF CFP BOARD OR OTHER PARTY HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES. BECAUSE SOME JURISDICTIONS PROHIBIT THE EXCLUSION OR LIMITATION OF LIABILITY FOR CONSEQUENTIAL OR INCIDENTAL DAMAGES, THE ABOVE LIMITATION MAY NOT APPLY TO YOU.

E. Updates

CFP Board reserves the right to make changes to the Listing and any Legal Information contained herein at any time by updating these Terms and Conditions without notice to you. You should review these Terms and Conditions from time to time to review the then-current Legal Information because they are binding on you. Your use of this Listing following any such change constitutes your agreement to follow and be bound by the Legal Information as changed. If any change is unacceptable to you, your only recourse is to terminate your use of the Listing.

F. Policy on the Release of CFP® Professional Information

CFP Board, either through telephone or email responses or through “Find a CFP® Professional” and “Verify an Individual’s CFP® Certification Search Results” tools may provide any of the following information:

- Whether the person(s) has ever been certified by CFP Board, the date of that certification, and, if not currently certified, the date on which certification lapsed or was otherwise terminated.
- Whether the person(s) has indicated he/she is in the practice of financial planning.
- Any past and/or current public disciplinary actions against the person(s) by CFP Board.
- The business name, address and phone number of the person(s).
- The renewal date for the person(s) current CFP® certification.
- Any information entered by the CFP® professional in his or her “Find a CFP® Professional” profile.

From time to time CFP Board provides this same information in the form of a list to education providers, industry organizations, associations or businesses related to the financial planning industry as CFP Board deems appropriate. A fee is charged for the list, and may be used one-time only. CFP® professional may opt-out of being included on these lists by selecting the "opt-out" box located in the Contact Information section of their online CFP Board accounts, at the bottom of the online Certification Application form or by emailing or faxing notification to the numbers listed above in this section.

CFP Board may provide information other than that listed above, including but not limited to Social Security numbers, to government and self regulatory organizations, to allow for the proper identification of person(s) associated with the requesting agency. CFP Board reserves the right to deny any requests for information.

i. Legally Required to Disclose Information

CFP Board may release information on the rare occasions when we are required to do so by law, are involved in a lawsuit or in order to enforce the terms of use conditions.

ii. Changes to Collection and Dissemination of Information Policy

CFP Board reserves the right, at any time, to add to, change, update, or modify this Policy, simply by posting such addition, change, update, or modification on this site and may require CFP® professionals to consent to certain changes. Any such addition, change, update, or modification will be effective immediately upon posting on the site.

G. Security

Physical, electronic and managerial procedures have been employed to safeguard the security and integrity of personal information. Personal information is accessible only by staff. All CFP Board agents and contractors with access to personal information obtained

on the listing are also bound to adhere to this policy. To protect the security of information supplied by users in order to access restricted areas, users must provide email addresses and passwords to access their information.

H. Trademark

CFP Board owns and has federally registered the mark CFP[®], which we award to individuals who successfully complete initial and ongoing certification requirements. CFP Board also owns and has federally registered the stylized CFP (with flame design) and CFP (with plaque design). We also use in commerce and own the mark CERTIFIED FINANCIAL PLANNER[™]. CFP Board's trademarks may not be used in any way without receiving CFP Board's prior express written permission. Misuse of CFP Board's trademarks is strictly prohibited. Please be advised that CFP Board will aggressively enforce its intellectual property rights to the fullest extent of the law.

Other product and service names may be trademarks of their respective companies. The absence of an identification of third party marks or the lack an attribution of ownership of such marks on this website should not be construed as any claim of rights by CFP Board.

I. Copyright

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You should assume that everything you see or read on this listing is copyrighted (unless otherwise noted) and may not be used, except as provided in this Legal Information section or in the text on the website, without the prior consent of CFP Board.

i. Use of Content

All information, documents and materials posted in this listing are solely for the use of CFP Board users and may not be resold, republished, or copied, without the prior consent of CFP Board. Information, documents and materials may be reprinted for educational and nonprofit purposes only. In addition, all information, documents and materials posted in this website are subject to change.

CFP Board will generally grant permission to use content or reprint additional copies of copyrighted materials upon a user's written request. In order to receive CFP Board's written consent, please complete the [online Copyright Permission Request form](#) and CFP Board's Trademark Department will review your request and notify you by email if your request has been approved or if we require more information.

You may also [Download the Copyright Permission Request form \(pdf\)](#) and send the completed form to CFP Board by mail or fax to:

Certified Financial Planner Board of Standards, Inc.
Attention: Trademark Department
1425 K Street, NW, Suite 500
Washington, DC 20005
Fax: 202-379-2299

J. Discrimination

CFP Board does not discriminate in certification opportunities or practices on the basis of race, color, religion, sex, national origin, age, disability or any other characteristic protected by law.

K. Applicable Law

This listing is controlled by CFP Board, incorporated in the State of Colorado, from its offices within Washington, District of Columbia, the United States of America. As such, U.S. federal laws and the laws of the State of Colorado will govern the Legal Information section, excluding any principles or rules of law that may direct the application of the law of another state. You hereby irrevocably and unconditionally consent to submit to the exclusive jurisdiction of the courts of the State of Colorado, second judicial district, and/or the United States District Court for the District of Colorado, for any litigation arising out of or relating to the use of the listing, waive any objection to the venue of any such litigation in the Colorado courts and agree not to plead or claim in any Colorado court that such litigation brought therein has been brought in an inconvenient forum. If any provision of this Legal Information section shall be unlawful, void or for any reason unenforceable, then that provision shall be deemed severable from the Legal Information and shall not affect the validity or enforceability of any remaining provisions.

Last Updated: 9/21/2012