

Board Director Volunteer Application Form

We are honored that you are submitting your application to serve as a Director on the Board of Directors of Certified Financial Planner Board of Standards, Inc. (CFP Board). Please review the full description of the candidate specifications for Board Directors at www.CFP.net/candidate.pdf.

In our effort to find qualified candidates for the Board of Directors we use a comprehensive selection process. This includes collecting background information and requesting comments on open-ended questions. After initial screening of applications, a select number of candidates will undergo interviews, with finalists going through a reference checking process. CFP Board will conduct a background check on each applicant under consideration. The extent of this check will depend upon the type of opportunity you are registering for and may include various state and federal searches. If you choose not to provide this information, CFP Board cannot process your application. Your submission of the volunteer application form is verification of your acceptance of these terms. Unless otherwise stated in our Collection and Dissemination of Information Policy statement, personal information provided by you will not be used for marketing and promotional purposes by CFP Board, however, CFP Board may disclose personal information in response to a subpoena or when we believe in good faith that the law requires it or to respond to an emergency situation.

Part I: Background Information

SECTION I: Contact Information

Name: _____

Job Title: _____

Business Name: _____

E-mail: _____

Business Address: _____

Date Started with Company: _____

Home Address: _____

Phone: _____

Fax: _____

SECTION II: Educational Degrees

Degree: _____ Date Received: _____ College or Institution: _____

Degree: _____ Date Received: _____ College or Institution: _____

Degree: _____ Date Received: _____ College or Institution: _____

SECTION III: Professional Designations

Designation: _____ Date Received: _____ Granting Organization: _____

Designation: _____ Date Received: _____ Granting Organization: _____

Designation: _____ Date Received: _____ Granting Organization: _____

SECTION IV: Licenses Held

Have you ever had a license relinquished or revoked? Yes No

If 'yes,' please explain in the space below or on an attached sheet.

SECTION V: Previous Volunteer Service

Please list previous CFP Board or related professional/association/industry/community volunteer service including positions held, if any:

Organization	Service/Position Held	Start Date	End Date	Reference Names & Phone Number



SECTION VI: Background and Qualifications

1. Describe your current professional position:
2. Subject Matter Expertise:
 - Generalist
 - Insurance Planning
 - Employee Benefits
 - Tax Planning
 - Retirement Planning
 - Estate Planning
3. If you are an active planner, what market(s) do you serve?
Ultra High Net worth High Net worth Mass Market Niche _____

Describe your compensation arrangement?
4. Please describe what you consider to be the primary contributions you have made to financial planning and the profession?
5. Please state briefly your specific or unique qualifications to serve generally and the contributions you hope to make to CFP Board and its purposes:

SECTION VII: Disclosure of Complaints Current and Past

Are you, or have you ever been, under notice as to any complaints by clients or any regulatory agency which have led or may lead to legal or disciplinary action?

- Currently Have Been No

If you are currently under notice or you have been in the past, please briefly explain:

SECTION VIII: Other Volunteer Opportunities

If you were not selected as a Board Director at this time given that there are generally no more than 2 to 4 openings for any given year and it is a very competitive process, please mark any other volunteer opportunities for which you might be interested in volunteering:

- Council on Education
- Council on Examinations
- Disciplinary and Ethics Commission
- Public Policy Council

Exam Development Volunteers:

- Job Analysis
- Exam Content Specialists
- Item or Case Writers
- Paired Review
- Field Tester

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Part II: Open-Ended Questions

SECTION IX: Open-Ended Questions for Board Director Volunteers

Please respond to the following more comprehensive open-ended questions. Please provide a paragraph on each open ended question.

1. Please explain why you are interested in serving on CFP Board's Board of Directors?
2. CFP Board requires a commitment to attend scheduled Board meetings and conference calls. In addition, the expectation is that each Director will serve on one or more Board Committees or Task Forces with varied time obligations. Unscheduled commitments may arise during the year as well. Will you be able to commit the necessary time to perform your duties if elected to the Board?

Yes No

What time limitations on your service do you foresee over the next four years?

3. Which Board Committees would interest you and why: Appeals, Audit & Finance, Governance, Nominating, CEO Oversight?
4. Describe your media and speaking experience.
5. Describe your experience and contributions serving on other volunteer or corporate boards.
6. What would be helpful to know about you that our current information does not reflect? What makes you unique; what sets you apart from other possible candidates for the volunteer position of Board Director?
7. What do you consider to be the most important thing you have learned in your career?
8. Are there any conflicts of interest, current or anticipated, on issues that the Board should know about in considering your application?
9. What do you perceive to be the most important role of CFP Board?

10. What do you see as the most pressing issues the Board of Directors needs to address in the next 2 years?

11. The mission of the CFP Board is: To benefit the public by granting the CFP® certification and upholding it as the recognized standard of excellence for personal financial planning.

How do you feel that your addition to the Board of Directors would enhance the mission of CFP Board?

12. Would you be willing and able to serve as Chair of the Board of Directors in the future?

Yes No

SECTION X: Acknowledgement and Submittal

I agree that everything on and attached to this form is correct and accurate to the best of my knowledge

Signature: _____ Date: _____

Please attach your resume to this form and send to Tammy Turner, Executive Assistant to Kevin Keller, CFP Board by mail to 1425 K Street NW, Suite 500, Washington, DC, 20005, by fax to 202-379-2302 or by e-mail to tturner@CFPBoard.org.

We appreciate your interest in serving with CFP Board and look forward to reviewing your application.



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Certified Financial Planner Board of Standards Inc. owns these certification marks in the U.S., which it awards to individuals who successfully complete CFP Board's initial and ongoing certification requirements.